

Session Types and Higher-Order Concurrency

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Joint work with

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(based on papers in [Inf & Comp'19](#) and [ECOOP'19](#))



UNIFYING
C•RRECTNESS FOR
C•MMUNICATING
S•FTWARE

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Keywords and Slogans

Concurrency Theory, Message-Passing, Programming Languages, Verification

- **Type systems**

Slogan: Well-typed programs can't go wrong (Milner)

- **Process calculi**

Slogan: The π -calculus treats **processes** like the λ -calculus treats **functions**

- **Session types** for communication correctness

Slogan: **What** and **when** should be sent through a channel

- **Relative expressiveness** of (typed) programming calculi

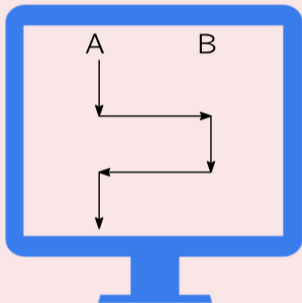
This Talk: Bridging Functions and Concurrency



- Bridges between functional and concurrent programming calculi
→ **Encodings** as formal compilers (language translations)
- Encodings informed by **session types**:
 - Protocols guide encoding definitions
 - Linearity is key to enforce optimizations
 - Encoding correctness based on prior work on typed equivalences [CONCUR'15]
- Type-based **extensions of known encodings**
- **New encodings** not available in untyped settings

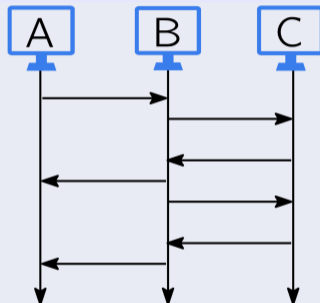
When is a Program Correct?

Sequential Programs



“Programs produce outputs that are consistent with their input”

Concurrent Programs



“Programs always respect their intended protocols”

Type Systems

Sequential Languages

- **Data type systems** classify values in a program
- Examples: Integers, strings of characters

Concurrent Languages

- **Behavioral type systems** classify protocols in a program
- Example: “first send username, then receive true/false, finally close”
- A typical bug: sending messages in the wrong order

Protocols as Session Types

Session types uniformly describe protocols in terms of

- communication actions (input and output)
- labeled choices (offers and selections)
- sequential composition
- recursion

Session protocols are attached to **interaction devices**:

- π -calculus names
- service endpoints
- Go channels
- ...

Sequentiality in types goes **hand-in-hand** with sequentiality in processes

Protocols as Session Types

$S ::= !U; S$	output value of type U , continue as S
$?U; S$	input value of type U , continue as S
$\&\{l_i : S_i\}_{i \in I}$	offer a selection between S_1, \dots, S_n
$\oplus\{l_i : S_i\}_{i \in I}$	select between S_1, \dots, S_n
$\mu t. S \mid t$	recursion
end	terminated protocol

(Labels l_1, \dots, l_n are pairwise different.)

Notice:

- U stands for basic values (e.g. `int`) but also sessions S (aka delegation)
- Sequential communication patterns (no built-in concurrency)

Session-Based Concurrency

Two phases:

- I. Services advertise their session protocols along **channel names**. Agreements are realized by their point-to-point interaction, in an **unrestricted** and **non-deterministic** way.
- II. After agreement, services establish a session using **session names**. Intra-session interactions follow the intended protocol, in a **linear** and **deterministic** way.

Notice:

- ‘Linear’ and ‘unrestricted’ in the sense of Girard’s **linear logic**.

Challenge

- Many behavioral type systems!
- Correctness via various **behavioral properties**
 - Protocol fidelity, comm. safety, deadlock-freedom
- Different type systems, properties and insights
- A program can be both correct *and* incorrect!



Relative Expressiveness

Connect behavioral type systems
by relating the **concurrent languages** on which they operate

Goals

- ✓ **Encodability result:**
A correct compiler between two concurrent languages
- ✗ **Separation result:**
A proof that a correct compiler does not exist

Highlights:

⇒ A **general, rigorous, flexible, and practical** approach

Higher-Order Concurrency

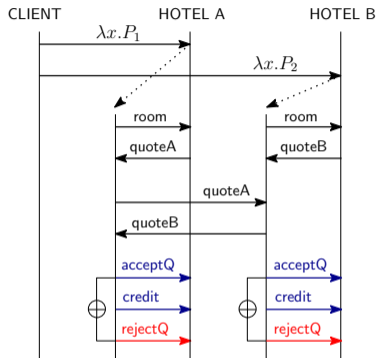
- Process languages in which values may contain processes
- Natural bridge between the λ -calculus and process calculi
- Key example: the higher-order π -calculus

A celebrated result, by Sangiorgi (1992)

- Process passing is **representable** using name passing
- Encoding is **fully abstract** wrt barbed congruence (contextual equivalence)
- Highlights **significance** of the π -calculus
- Enables **transfer** reasoning techniques



Higher-Order **Session** Concurrency



Two alternative sources:

- Higher-order π -calculi
+ **session communication** (establishment, input/output, labeled choice)
- Session π -calculi
+ passing of **abstractions** $\lambda x.P$ (functions from names to processes)

Higher-order π -calculus with sessions (HO π)

$n, m ::= a, b \mid s, \bar{s}$	names: shared and linear
$u, w ::= n \mid x, y, z$	name identifiers
$V, W ::= u \mid \lambda x. P \mid x, y, z$	values: names, abstractions
$P, Q ::= u?(x).P \mid u!\langle V \rangle.P$	input / output
$\mid u \triangleright \{l_i : P_i\}_{i \in I} \mid u \triangleleft l.P$	labeled choice
$\mid X \mid \mu X.P$	recursion
$\mid P \mid Q \mid (\nu n)P \mid 0$	parallel, restriction, inaction
$\mid V u$	name application

Reduction Semantics: Key Rules

$$\begin{aligned}(\lambda x. P) u &\longrightarrow P\{u/x\} \\ n!\langle V \rangle.P \mid \bar{n}?(x).Q &\longrightarrow P \mid Q\{V/x\} \\ n \triangleleft l_j.Q \mid \bar{n} \triangleright \{l_i : P_i\}_{i \in I} &\longrightarrow Q \mid P_j \quad (j \in I)\end{aligned}$$

Example: Two Different Clients in $\text{HO}\pi$

$$\begin{aligned} \text{Client}_1 &\triangleq (\nu h_1, h_2)(s_1! \langle \lambda x. P_{xy}\{h_1/y\} \rangle. s_2! \langle \lambda x. P_{xy}\{h_2/y\} \rangle. 0 \mid \\ &\quad \bar{h}_1?(x).\bar{h}_2?(y).\text{if } x \leq y \text{ then} \\ &\quad \quad (\bar{h}_1 \triangleleft \text{accept}.\bar{h}_2 \triangleleft \text{reject}.0 \text{ else } \bar{h}_1 \triangleleft \text{reject}.\bar{h}_2 \triangleleft \text{accept}.0)) \\ P_{xy} &\triangleq x! \langle \text{room} \rangle. x?(quote). y! \langle quote \rangle. y \triangleright \left\{ \begin{array}{l} \text{accept} : x \triangleleft \text{accept}. x! \langle \text{credit} \rangle. 0, \\ \text{reject} : x \triangleleft \text{reject}. 0 \end{array} \right\} \end{aligned}$$

$$\begin{aligned} \text{Client}_2 &\triangleq (\nu h)(s_1! \langle \lambda x. Q_1\{h/y\} \rangle. s_2! \langle \lambda x. Q_2\{\bar{h}/y\} \rangle. 0) \\ Q_1 &\triangleq x! \langle \text{room} \rangle. x?(quote_1). y! \langle quote_1 \rangle. y?(quote_2). R_x \\ Q_2 &\triangleq x! \langle \text{room} \rangle. x?(quote_1). y?(quote_2). y! \langle quote_1 \rangle. R_x \\ R_x &\triangleq \text{if } quote_1 \leq quote_2 \text{ then } (x \triangleleft \text{accept}. x! \langle \text{credit} \rangle. 0 \text{ else } x \triangleleft \text{reject}. 0) \end{aligned}$$

Session Types for $\text{HO}\pi$

$C ::= S \mid \langle S \rangle \mid \langle L \rangle$	first-order types
$L ::= C \rightarrow \diamond \mid C \multimap \diamond$	functional types (shared / linear)
$U ::= C \mid L$	value types
$S ::= !\langle U \rangle; S$	output
$\mid ?(U); S$	input
$\mid \oplus \{l_i : S_i\}_{i \in I}$	selection
$\mid \& \{l_i : S_i\}_{i \in I}$	branching
$\mid \mu t. S \mid t \mid \text{end}$	recursive and terminated type

Judgements for values and processes:

$$\Gamma; \Lambda; \Delta \vdash V \triangleright U \qquad \Gamma; \Lambda; \Delta \vdash P \triangleright \diamond$$

Example: Typing a Client

$$\begin{aligned} \text{Client}_1 &\triangleq (\nu h_1, h_2)(s_1!\langle \lambda x. P_{xy}\{h_1/y\} \rangle . s_2!\langle \lambda x. P_{xy}\{h_2/y\} \rangle . 0 \mid \\ &\quad \bar{h}_1?(x).\bar{h}_2?(y).\text{if } x \leq y \text{ then} \\ &\quad (\bar{h}_1 \triangleleft \text{accept}.\bar{h}_2 \triangleleft \text{reject}.0 \text{ else } \bar{h}_1 \triangleleft \text{reject}.\bar{h}_2 \triangleleft \text{accept}.0)) \\ P_{xy} &\triangleq x!\langle \text{room} \rangle . x?(quote) . y!\langle quote \rangle . y \triangleright \left\{ \begin{array}{l} \text{accept} : x \triangleleft \text{accept} . x!\langle \text{credit} \rangle . 0 , \\ \text{reject} : x \triangleleft \text{reject} . 0 \end{array} \right\} \end{aligned}$$

A session type (with base types `quote`, `room`, and `credit`):

$$U = !\langle \text{room} \rangle ; ?(quote) ; \oplus \{ \text{accept} : !\langle \text{credit} \rangle ; \text{end}, \text{reject} : \text{end} \}$$

Typing judgments:

$$\begin{aligned} \emptyset ; \emptyset ; y : !\langle quote \rangle ; \&\{ \text{accept} : \text{end}, \text{reject} : \text{end} \} \vdash \lambda x. P_{xy} \triangleright U \multimap \diamond \\ \emptyset ; \emptyset ; s_1 : !\langle U \multimap \diamond \rangle ; \text{end} \cdot s_2 : !\langle U \multimap \diamond \rangle ; \text{end} \vdash \text{Client}_1 \triangleright \diamond \end{aligned}$$

Key Questions

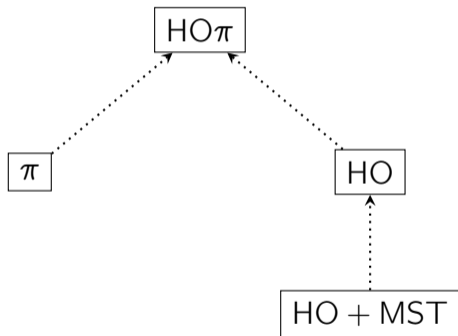
At the level of **processes**, two mechanisms: name passing and abstraction passing (first- and higher-order concurrency).

- ▶ Are both mechanisms fundamental?
- ▶ Can one of them be represented using the other?

At the level of **types**:

- ▶ To what extent the structure of session types play a role?

Sub-languages of $\text{HO}\pi$



- **HO** isolates **higher-order** features:
only abstraction passing, no name passing
- **π** isolates **first-order** features:
only name passing, no abstraction passing
- **HO + MST** is as HO but without
sequentiality in session types

Sub-calculi of $\text{HO}\pi$

$n, m ::= a, b \mid s, \bar{s}$

$u, w ::= n \mid x, y, z$

$V, W ::= \boxed{u} \mid \boxed{x, y, z} \mid \boxed{\lambda x. P}$

$P, Q ::= u?(x).P \mid u!\langle V \rangle.P$
 $\mid u \triangleright \{l_i : P_i\}_{i \in I} \mid u \triangleleft l.P$

$\mid \boxed{X \mid \mu X.P}$

$\mid P \mid Q \mid (\nu n)P \mid 0$

$\mid \boxed{V u}$

names: shared and linear
name identifiers

values: names, abstractions

input / output

labeled choice

recursion

parallel, restriction, inaction

name application

- HO lacks shaded constructs
- π lacks boxed constructs

Session Types for HO and π

$C ::= S \mid \langle S \rangle \mid \langle L \rangle$	first-order types
$L ::= C \rightarrow \diamond \mid C \multimap \diamond$	shared / linear functional types
$U ::= \boxed{C} \mid \boxed{L}$	value types
$S ::= !\langle U \rangle; S$	output
$?(U); S$	input
$\oplus\{l_i : S_i\}_{i \in I}$	selection
$\&\{l_i : S_i\}_{i \in I}$	branching
$\mu t. S \mid t \mid \text{end}$	recursive and terminated type

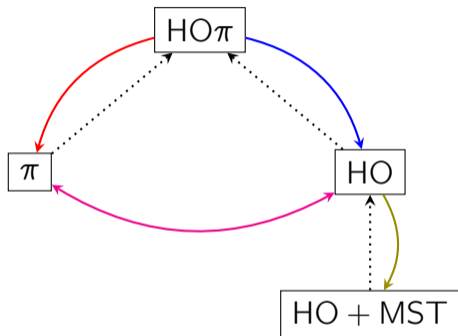
- Types for HO lack shaded constructs
- Types for π lack boxed constructs

Minimal Session Types for HO

$C ::= S \mid \langle U \rangle$	value types
$U ::= \tilde{C} \rightarrow \diamond \mid \tilde{C} \multimap \diamond$	functional types
$S ::=$	
$!\langle \tilde{U} \rangle; \text{end}$	output
$?(\tilde{U}); \text{end}$	input
$\oplus \{ l_i : S_i \}_{i \in I}$	selection
$\& \{ l_i : S_i \}_{i \in I}$	branching
$\mu t. S \mid t \mid \text{end}$	recursive and terminated type

- Sequentiality in types
- + Polyadic communication

Expressivity Results for $\text{HO}\pi$



$\text{HO}\pi$ and its sub-calculi are **equally expressive**

- Encoding **$\text{HO}\pi$ into π**
Refines Sangiorgi's with session types
- Encoding **$\text{HO}\pi$ into HO**
New encoding, even in untyped settings

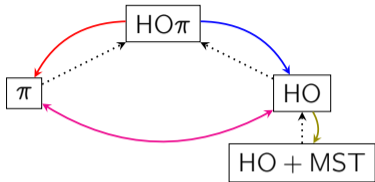
Minimal Session Types for HO

- Session types explained in terms of themselves
- Closer to types in actual PLs

$\text{HO}\pi$ encodes its **extensions**

- Higher-order abstractions
- Polyadic communication
- Their super-calculus

This Talk

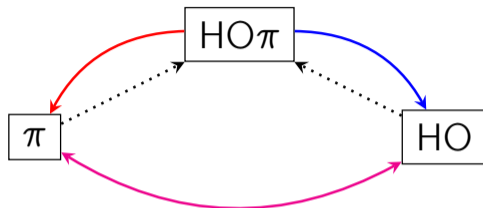


- Encoding $HO\pi$ into π and HO
- Minimal session types for HO

Further Results

- The notion of **precise encodings**
- New typed equivalence for $HO\pi$
- Encoding extensions of $HO\pi$ into $HO\pi$
- Negative result, using **minimal encodings**:
session names can't encode shared communication
- Comparing HO and π , using **tight encodings**

Two **Precise** Encodings



Recall:

- π lacks higher-order features (abstraction passing, application)
- HO lacks first-order features (name passing and recursion)

Approach

- Abstract definition of **precise encoding** (translation + correctness criteria)
- **Instantiate the definition** with typed calculi, typed semantics, equivalences

Encoding #1: HO π into π

Sangiorgi's encoding refined using **linearity**.

Translating processes:

$$\llbracket u! \langle \lambda x. Q \rangle . P \rrbracket \triangleq \begin{cases} (\nu a)(u! \langle a \rangle . (\llbracket P \rrbracket \mid a?(y).y?(x).\llbracket Q \rrbracket)) & \text{if } Q \text{ is linear} \\ (\nu a)(u! \langle a \rangle . (\llbracket P \rrbracket \mid * a?(y).y?(x).\llbracket Q \rrbracket)) & \text{otherwise} \end{cases}$$

$$\llbracket u?(x).P \rrbracket \triangleq u?(x).\llbracket P \rrbracket$$

$$\llbracket x u \rrbracket \triangleq (\nu s)(x! \langle s \rangle . \bar{s}! \langle u \rangle . 0)$$

$$\llbracket (\lambda x. P) u \rrbracket \triangleq (\nu s)(s?(x).\llbracket P \rrbracket \mid \bar{s}! \langle u \rangle . 0)$$

Translating types:

$$\langle\langle ! \langle S \multimap \diamond \rangle ; T \rangle\rangle \triangleq ! \langle \langle ?(\langle\langle S \rangle\rangle); \text{end} \rangle \rangle ; \langle\langle T \rangle\rangle$$

$$\langle\langle ? \langle S \multimap \diamond \rangle ; T \rangle\rangle \triangleq ? \left(\langle \langle ?(\langle\langle S \rangle\rangle); \text{end} \rangle \rangle \right) ; \langle\langle T \rangle\rangle$$

Example: Encoding #1 at Work

$$\begin{aligned} \text{Client}_1 &\triangleq (\nu h_1, h_2)(s_1!\langle \lambda x. P_{xy}\{h_1/y\} \rangle. s_2!\langle \lambda x. P_{xy}\{h_2/y\} \rangle. 0 \mid \\ &\quad \bar{h}_1?(x).\bar{h}_2?(y).\text{if } x \leq y \text{ then} \\ &\quad (\bar{h}_1 \triangleleft \text{accept}.\bar{h}_2 \triangleleft \text{reject}.0 \text{ else } \bar{h}_1 \triangleleft \text{reject}.\bar{h}_2 \triangleleft \text{accept}.0)) \\ P_{xy} &\triangleq x!\langle \text{room} \rangle. x?(quote). y!\langle quote \rangle. y \triangleright \left\{ \begin{array}{l} \text{accept} : x \triangleleft \text{accept}. x!\langle \text{credit} \rangle. 0, \\ \text{reject} : x \triangleleft \text{reject}. 0 \end{array} \right\} \end{aligned}$$

$$\begin{aligned} \llbracket \text{Client}_1 \rrbracket &= (\nu h_1, h_2) \left((\nu a_1)(s_1!\langle a_1 \rangle. (\nu a_2)(s_2!\langle a_2 \rangle. \right. \\ &\quad (0 \mid a_2?(y). y?(x). \llbracket P_{xy}\{h_2/y\} \rrbracket)) \mid a_1?(y). y?(x). \llbracket P_{xy}\{h_1/y\} \rrbracket) \mid \\ &\quad \bar{h}_1?(x).\bar{h}_2?(y).\text{if } x \leq y \text{ then} \\ &\quad (\bar{h}_1 \triangleleft \text{accept}.\bar{h}_2 \triangleleft \text{reject}.0 \text{ else } \bar{h}_1 \triangleleft \text{reject}.\bar{h}_2 \triangleleft \text{accept}.0)) \end{aligned}$$

where $\llbracket P_{xy} \rrbracket = P_{xy}$, for it does not involve higher-order communication.

Encoding #2: HO π into HO

Two Challenges

1. Encoding **name passing into abstraction passing**
No completely satisfactory encoding known in the literature
2. Encoding recursion $\mu X.P$ using session names
How to model **infinite behavior** using only **linear names**?

Encoding $\text{HO}\pi$ into HO: Challenge 1 of 2

How to encode the output of a name b along channel a ?

Idea: “Pack” b into an abstraction

The receiver “unpacks” b following a protocol on a fresh session:

$$\llbracket a!\langle b \rangle.P \rrbracket = a!\langle \lambda z. z?(x).(x b) \rangle.\llbracket P \rrbracket$$

$$\llbracket a?(x).Q \rrbracket = a?(y).(\nu s)(y s \mid \bar{s}!\langle \lambda x. \llbracket Q \rrbracket \rangle.0)$$

Type preservation: Input/outputs are preserved!

Example: Encoding Name-Passing in HO

With name-passing, we can have the following reduction:

$$n!\langle m \rangle.P \mid \bar{n}?(x).Q \longrightarrow P \mid Q\{m/x\}$$

No name-passing in HO! Using the encoding, we have:

$$\begin{aligned} \llbracket n!\langle m \rangle.P \mid \bar{n}?(x).Q \rrbracket &= n!\langle \lambda z. z?(x).(x m) \rangle. \llbracket P \rrbracket \mid n?(y).(\nu s)(y s \mid \bar{s}!\langle \lambda x. \llbracket Q \rrbracket \rangle) \\ &\longrightarrow \llbracket P \rrbracket \mid (\nu s)(\lambda z. z?(x).(x m) s \mid \bar{s}!\langle \lambda x. \llbracket Q \rrbracket \rangle) \\ &\longrightarrow \llbracket P \rrbracket \mid (\nu s)(s?(x).(x m) \mid \bar{s}!\langle \lambda x. \llbracket Q \rrbracket \rangle) \\ &\longrightarrow \llbracket P \rrbracket \mid (\lambda x. \llbracket Q \rrbracket) m \\ &\longrightarrow \llbracket P \rrbracket \mid \llbracket Q \rrbracket\{m/x\} \end{aligned}$$

Encoding $\text{HO}\pi$ into HO: Challenge 2 of 2

Key Idea for Translating $\mu X.P$

- Treat P as an **abstraction** with variables instead of names
- Having no linear names, this abstraction can be **duplicated**; its (recursive) type captures infinite behavior

Formally

- Map $\llbracket \cdot \rrbracket_f$ converts free session names into name variables.
- Below, $\tilde{n} = \text{fn}(P)$:

$$\begin{aligned} \llbracket \mu X.P \rrbracket_f &\triangleq (\nu s)(\bar{s}! \langle \lambda(\|\tilde{n}\|, y). y?(z_X). \llbracket \llbracket P \rrbracket_{f, \{X \rightarrow \tilde{n}\}} \rrbracket_{\emptyset} \rangle. 0 \mid s?(z_X). \llbracket P \rrbracket_{f, \{X \rightarrow \tilde{n}\}}) \\ \llbracket X \rrbracket_f &\triangleq (\nu s)(z_X(\tilde{n}, s) \mid \bar{s}! \langle z_X \rangle. 0) \quad (\tilde{n} = f(X)) \end{aligned}$$

- Moreover: $\llbracket \Gamma \cdot X : \Delta \rrbracket = \llbracket \Gamma \rrbracket \cdot z_X : (\tilde{S}, \mu t. ?((\tilde{S}, t) \rightarrow \diamond); \text{end}) \rightarrow \diamond$.

Session Types: The Reality

- Sequential composition not supported in types for actual PLs.
- Channel types declare payload types and channel directions, not structure.
 - In Go:
`ch := make(chan int)`
 - In CloudHaskell:
`(s,r) <- newChan :: Process (SendPort Int, ReceivePort Int)`
- Programmers must enforce sequentiality themselves \rightsquigarrow Error-prone

On Sequentiality in Processes: Trios in Concert

A beautiful result, by Parrow (1996)

- π -calculus processes **decomposed** as a collection of **trios processes** with at most 3 nested prefixes
- P and its decomposition $\mathcal{D}(P)$ are tightly related, up to weak bisimilarity:

$$P \approx \mathcal{D}(P)$$

- Untyped setting: No constraints on name usage
- Replication instead of recursion
- No higher-order communication nor choices



Bridge the gap!

Can we dispense with sequential composition in session types?

- **Yes!** Sequentiality in types can be codified by sequentiality in processes. Key inspiration from Parrow's decomposition approach.
- Only sequential composition **in processes** is truly indispensable.

Key Ideas

A process P typed with standard session types S_1, \dots, S_n :

- Sequencing in S_1, \dots, S_n is codified by $\mathcal{D}(P)$, the decomposition of P .
- Each S_i is decomposed into $\mathcal{G}(S_i)$, a **list** of minimal session types.
- Roughly: If $\Gamma \vdash P$ then $\mathcal{G}(\Gamma) \vdash \mathcal{D}(P)$.

Example: Decomposing Processes

 $C_{\text{Pay}} \mid Q_{\text{Pay}}$ C_{Pay} $\bar{u}!\langle 36 \rangle.$
 $\bar{u}!\langle \text{bank} \rangle.$
 $\bar{u}?(status).$
 0 Q_{Pay} $u?(a).$
 $u?(b).$
 $u!\langle a < 42 \rangle.$
 0 $\mathcal{D}(C_{\text{Pay}} \mid Q_{\text{Pay}}) = \bar{c}_1!\langle \rangle \mid c_1?().\bar{c}_2!\langle \rangle.\bar{c}_6!\langle \rangle$ $\mathcal{D}(C_{\text{Pay}})$ $c_6?().\bar{u}_1!\langle 36 \rangle.\bar{c}_7!\langle \rangle \mid$
 $c_7?().\bar{u}_2!\langle \text{bank} \rangle.\bar{c}_8!\langle \rangle \mid$
 $c_8?().\bar{u}_3?(status).\bar{c}_9!\langle status \rangle \mid$
 $c_9?(status).0$ $\mathcal{D}(Q_{\text{Pay}})$ $c_2?().u_1?(a).\bar{c}_3!\langle a \rangle \mid$
 $c_3?(a).u_2?(b).\bar{c}_4!\langle a, b \rangle \mid$
 $c_4?(a, b).u_3!\langle a < 42 \rangle.\bar{c}_5!\langle b \rangle \mid$
 $c_5?(b).0$

Example: Decomposing Session Types

$$Q_{\text{Pay}} = u?(a).u?(b).u!\langle a < 42 \rangle.0$$

$u : ?\text{Int}; ?\text{Str}; !\text{Bool}; \text{end}$

$\mathcal{D}(Q_{\text{Pay}})$

$c_2?().u_1?(a).\overline{c_3}\langle a \rangle \quad || \quad c_3?(a).u_2?(b).\overline{c_4}\langle a, b \rangle \quad || \quad c_4?(a, b).u_3!\langle a < 42 \rangle.\overline{c_5}\langle b \rangle$

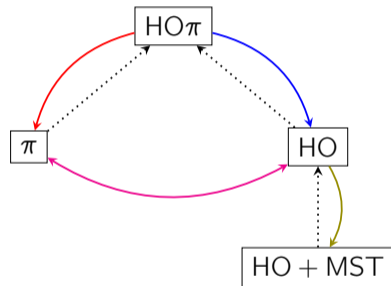
$u_1 : ?\text{Int}; \text{end}$
 $c_2 : ?(); \text{end}$

$u_2 : ?\text{Str}; \text{end}$
 $c_3 : ?(\text{Int}); \text{end}$

$u_3 : !\text{Bool}; \text{end}$
 $c_4 : ?(\text{Int}, \text{Bool}); \text{end}$

Concluding Remarks

- Expressivity results for HO process calculi with session types
- Different calculi with functional and concurrent features, tightly connected
- Session types guide encodings, and induce strong forms of correctness
- Session types explained in terms of themselves
- More results in [Inf & Comp'19](#) / [ECOOP'19](#).



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UNIFYING
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